



TFW 1615

1103326-0785

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Skantze et al.  
Serial No. : 10/521,617  
Filed : January 14, 2005  
For : Process for the Preparation of Crystalline Nano-Particle Dispersions  
Examiner : Susan T. Tran  
Group Art Unit : 1615

Certificate of Mailing Under 37 C.F.R. § 1.8	
I hereby certify that this paper is being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to:	
Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450	
<u>Andrew Fessak</u>	<u>48,528</u>
Agent Name	PTO Reg. No.
<u>Andrew Fessak</u>	<u>8/21/06</u>
Signature	Date of Signature

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit this communication in compliance with 37 C.F.R. §§1.56, 1.97 and 1.98. Favorable consideration of the documents cited on the attached Form PTO/SB/08A is respectfully requested.

The referenced application is the US national stage application of international application no. PCT/GB03/03044, filed July 14, 2003 ("the PCT application"). The documents identified on the attached Form PTO/SB/08A include documents cited in the specification of the referenced application, the International Search Report ("ISR"), and/or International Preliminary

Examination Report ("IPER") which issued in connection with the PCT application. Copies of the published PCT application, i.e., International Publication Number WO 2004/009057, the ISR, and the IPER were previously furnished to the Office on January 14, 2005 with the application filing papers.

As part of this communication, Applicants are providing copies of WO 03/51850 and WO 03/51851, which are the published international PCT applications of PCT/GB02/05736 and PCT/GB02/0542, respectively, cited in the specification of the instant application.

The following U.S. patents and patent publications cited on attached Form PTO/SB/08A are English-language member(s) of the corresponding French-language documents cited in the specification of the instant application:

English-language document cited on Form PTO/SB/08A	Foreign-language document cited in specification
US 5,624,941	EP 656,354 (in French)
US 6,432,984 US 6,645,985 US 2002/188077 US 2004/039024	WO 00/46209 (in French)

Accordingly, Applicants are not providing copies of the French-language documents with this communication.

**Copies of Cited U.S. Patent Documents are Not Provided**

As announced in the Official Gazette of August 5, 2003, the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003 has been waived.

Accordingly, copies of the U.S. patents and/or published patent applications cited on the attached Form PTO/SB/08A are not provided with this Information Disclosure Statement.

**Time of Transmittal of Information Disclosure Statement**

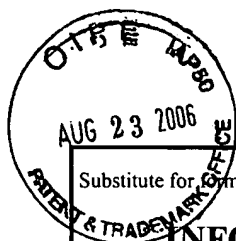
This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the referenced national stage application and, therefore, a fee is not required in connection with the filing of this statement. However, if any fee is required, the Assistant Commissioner is authorized to charge such fee to Deposit Account No. 23-1703.

Dated: Aug. 21, 2006

Respectfully submitted,

Andrew Fessak

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Substitute for Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

## Complete if Known

Sheet	1	of	2	Application Number	10/521,617
				Filing Date	January 14, 2005
				First Named Inventor	Skantze et al.
				Art Unit	1615
				Examiner Name	Susan T. Tran
				Attorney Docket Number	1103326-0785

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages/Figures Appear
		US-4,826,689	05-02-1989	Violanto et al.	
		US-4,997,454	03-05-1991	Violante et al.	
		US-5,118,528	06-02-1992	Fessi et al.	
		US-5,780,062	07-14-1998	Frank et al.	
		US-5,314,506	05-24-1994	Midler, Jr. et al.	
		US-5,145,684	09-08-1992	Liversidge et al.	
		US-5,624,941	04-29-1997	Barth et al.	

## FOREIGN PATENT DOCUMENTS

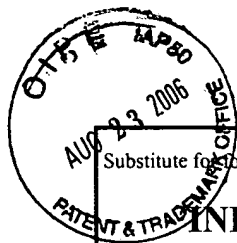
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		WO 96/32095	10-17-1996	Jakupovic et al.		
		WO 98/14174 A	04-09-1998	Desai et al.		
		WO 98/23350	06-04-1998	Wood et al.		
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		WO 01/92293 A2	12-06-2001	Kuil et al.		
		WO 02/00199	01-03-2002	Lancaster et al.		
		WO 03/035035	05-01-2003	McLoughlin		

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		RUCH et al., Journal of Colloid and Interface Science, Vol. 229, No. 1, 1 Sept. 2000, p. 207-211.	
		SJOESTROEM B. et al., Journal of Dispersion Science and Technology, Vol. 15, No. 1, 1994, p. 89-117.	
		SJOESTROEM B. et al., Journal of Pharmaceutical Sciences, Vol. 82, No. 6, 1 June 1993, p. 584-9.	
		KASAI et al., Jpn. J. Appl. Phys., 1992, 31, L1132.	
		KASAI et al., Bull. Chem. Soc. Jpn., 1998, 71, 2597.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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Sheet	2	of	2
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		Number-Kind Code <sup>2</sup> (if known)			
		US-6,383,471-B1	05-07-2002	Chen et al.	
		US-6,432,984-B1	08-13-2002	Barth et al.	
		US-6,645,985-B2	11-11-2003	Barth et al.	
		US-2002/188007-A1	12-12-2002	Barth et al.	
		US-2003/0059472 A1	03-27-2003	Brynjelsen et al.	
		US-2004/0039024-A1	02-26-2004	Barth et al.	

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		WO 03/51850 A1	06-26-2003	Wilsterman et al.		
		WO 03/51851 A1	06-26-2003	Berggren et al.		
		EP-275,607-A1	07-27-1988	Bellamy		
		GB-2,276,567-A	10-05-1994	Martin		

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		MOHWALD AND TALMON, Current Opinion in Colloid and Interface Science, 1997, 2, 129.	
		E. D. GODDARD, Colloids and Surfaces, 1986, 19, 255.	

Examiner Signature

Date Considered

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